

## **Powers Capital Investments, Inc.'s Business Continuity Plan Summary**

Powers Capital Investments, Inc. (Powers Capital) has developed a business continuity plan outlining our response to events that significantly disrupt our business. Those events may include natural or technical disasters. Since the timing and impact of disasters and disruptions are unpredictable, we will remain flexible in responding to actual events as they occur. In the event of disruptions, always check the website at [www.powerscapital.com](http://www.powerscapital.com) for information regarding the status of the firm's operations. The following outlines our business continuity plan.

**Contacting Us** – If due to a significant business disruption you cannot contact us through normal channels, send an email message to the Powers Capital alternate email account at [powerscapital@yahoo.com](mailto:powerscapital@yahoo.com). In the event of an emergency, this mailbox will be checked hourly from 7:00 am to 4:00 pm PST/PDT. You may also contact your custodian Charles Schwab & Co. at 1-800-435-4000 for instructions on how they may provide prompt access to your funds and securities including accepting buy and sell orders and issuing checks and wires from your account.

**Our Business Continuity Plan** – We plan to quickly recover and resume business operations after a significant business disruption. We will respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit the firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption. Our business continuity plan addresses:

- Data backup and recovery for all mission critical systems
- Financial and operational assessments
- Alternative communications with customers, employees, and regulators
- Alternate physical location of employees
- Regulatory reporting
- Assuring our customers prompt communication regarding the status of operations

**Varying Disruptions** – Significant business disruptions can vary in their scope. For example they may affect only our firm, the building housing our firm, the business district where our firm is located, the city where our firm is located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. If a disruption impacts our ability to access our office facility, remote access to this facility will be provided over the internet. If a disruption also renders the systems unusable, remote access to a systems recovery location will be provided over the internet. Given the first scenario, we expect recovery and resumption of operations within hours; in the second scenario, recovery and resumption is expected within 48 hours. In either situation, we plan to continue operations and to communicate to you the method by which to contact us. If the significant business disruption is so severe that it causes a more pronounced interruption in business, we will ensure that such information is promptly communicated with the necessary contact information for Powers Capital personnel, as applicable.

**For more information** – If you have questions about our business continuity plan, you can contact us at (714) 282-1566 or Michael Allbee, our Chief Compliance Officer, at [mallbee@powerscapital.com](mailto:mallbee@powerscapital.com).